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FORM PTO-1390
(REV 11-98)

DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

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TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

16966-00002

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)

09/424685

INTERNATIONAL APPLICATION NO.

PCT/JP98/01440

INTERNATIONAL FILING DATE

30 March 1998

PRIORITY DATE CLAIMED

None

TITLE OF INVENTION **INFORMATION RECORDING/PROCESSING DEVICES AND MACHINE/SYSTEM CONTROLLING
DEVICES EQUIPPED WITH FINGERPRINT SENSORS**

APPLICANT(S) FOR DO/EO/US

TAMORI, Teruhiko

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). **SUBMITTED FOR IDENTIFICATION PURPOSES ONLY.**
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information: **Certificate of Express Mail
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094465 = 01100

- NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

Armstrong Teasdale LLP
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SIGNATURE:

Alan L. Cassel

NAME _____

35,842

REGISTRATION NUMBER

CERTIFICATE OF MAILING BY EXPRESS MAIL TO
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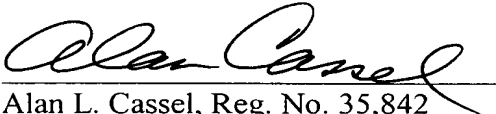
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I certify that the attached complete United States National Phase International patent application of **Teruhiko TAMORI** for **INFORMATION RECORDING/PROCESSING DEVICES AND MACHINE/SYSTEM CONTROLLING DEVICES EQUIPPED WITH FINGERPRINT SENSORS**, including:

1. Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 U.S.C. 371 (2 pages, in duplicate)
2. Application: 20 Pages Description
4 Pages Claims
1 Page Abstract
3. Five (5) sheets of drawings
4. Amendment (8 pages)
5. WIPO Publication WO99/50794
6. Information Disclosure Statement (3 pages) and Form 1449 (1 page), together with Copy of International Search Report (1 page) and cited references with English abstract for JP 7-62939 (10 pages) and JP 9-106456 (10 pages)
7. Copy of Declaration and Power of Attorney submitted for identification purposes only
8. Certificate of Mailing by Express Mail (1 sheet)
9. Return Receipt Post Card

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above in an envelope addressed to Box PCT PATENT APPLICATION, Attn. RO/US, Assistant Commissioner for Patents, Washington, D.C. 20231.


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